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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

| | |
|------------------------|---|
| Attorney Docket No. | 32867/257419 |
| First Inventor | David G. FOX, et. al. |
| Title | SYSTEM AND METHODS FOR AN ON-LINE INDUSTRY AUCTION SITE |
| Express Mail Label No. | |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

- 1 ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
 2 ☐ Applicant claims small entity status.
 See 37 CFR 1.27.
- 3 ☒ Specification (Total Pages 32)
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix.
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- 4 ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 10)
 5. Oath or Declaration (Total Pages 2)
 a. ☒ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))
 (for a continuation/divisional with Box 18 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
- 6 ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
 8. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

- 9 ☒ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 C.F.R. §3.73(b) Statement of (when there is an assignee) ☐ Power of Attorney
 11. ☐ English Translation Document (if applicable)
 12. ☐ Information Disclosure Statement (IDS) PTO-1449 ☐ Copies of IDS Citations
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 16. ☐ Request and Certification under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
 17. ☐ Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

| | | | | | |
|---------|-------------------------|-----------|--------------|----------|--------------|
| Name | CHARLES J. CALKINS | | | | |
| | KILPATRICK STOCKTON LLP | | | | |
| Address | 1001 WEST FOURTH STREET | | | | |
| City | WINSTON SALEM | State | NC | Zip Code | 27101-2400 |
| Country | USA | Telephone | 336/607-7300 | Fax | 336/607-7500 |

| | | | |
|-------------------|---|-----------------------------------|---------|
| Name (Print/Type) | CHARLES J. CALKINS | Registration No. (Attorney/Agent) | 31,814 |
| Signature | <i>David H. Newman for Charles J. Calkins</i> | Date | 4/26/01 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

04/26/01
 10772 U.S. PTO

10772 U.S. PTO
 04/26/01

10772 U.S. PTO
 04/26/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

TOTAL AMOUNT OF PAYMENT (\$) 1128

Complete If Known

| | |
|----------------------|---------------------|
| Application Number | TBA |
| Filing Date | HERE WITH |
| First Named Inventor | David G. Fox et al. |
| Examiner Name | |
| Group / Art Unit | |
| Attorney Docket No. | 32887/257419 |

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to

Deposit Account Number: 16-1435

Deposit Account Name: []

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

| Large Fee Code | Entity Fee Code | Small Fee Code | Entity Fee Code | Fee Description | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|-----------|
| 101 | 710 | 201 | 355 | Utility filing fee | 710 |
| 106 | 320 | 206 | 160 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | | | | (\$) 710 |

2. EXTRA CLAIM FEES

Total Claims: 41 -20** = 21

Independent Claims: 3 -3** = 0

Multiple Dependent: []

Extra Claims: 21

Fee from below: 16

Fee Paid: 378

Fee Description: Claims in excess of 20

Independent claims in excess of 3

Multiple dependent claim, if not paid

Reissue independent claims over original patent

Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 378

FEE CALCULATION (continued)

| Large Fee Code | Entity Fee Code | Small Fee Code | Entity Fee Code | Fee Description | Fee Paid |
|-----------------------------------|-----------------|----------------|-----------------|--|----------|
| 105 | 130 | 205 | 55 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 28 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension of reply within first month | |
| 116 | 330 | 216 | 195 | Extension of reply within second month | |
| 117 | 690 | 217 | 445 | Extension of reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension of reply within fourth month | |
| 126 | 1,890 | 226 | 945 | Extension of reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 800 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 130 | 123 | 130 | Petitions related to provisional applications | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Sheet | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | 40 |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.126(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | | | |
| *Reduced by Basic Filing Fee Paid | | | | | |
| SUBTOTAL (3) | | | | | (\$) 40 |

**or number previously paid, if greater. For Rescues, see above

SUBMITTED BY

| | | | | | |
|-------------------|---------------------|---------------------------------|--------|-----------|--------------|
| Name (Print/Type) | CHARLES J. CAULKINS | Registration No. Attorney/Agent | 31,814 | Telephone | 336/897-7300 |
| Signature | [Signature] | | Date | 4/26/01 | |

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2026.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.